

## Wellesley Math Bootcamp Lecture: Galois Theory

1. Field extensions
2. Algebraic elements over fields, examples. Notation  $f|_\alpha$ .
3. If  $\alpha \in L$ , then there is evaluation map  $e_\alpha: K[x] \rightarrow L$  given by  $e_\alpha(f) = f|_\alpha$ . The kernel is principal ideal so generated by some unique monic polynomial.
4. Proposition: This monic polynomial is irreducible.  
Proof: Suppose that  $m_\alpha = fg$ . Then  $0 = f(\alpha)g(\alpha)$ . If  $f(\alpha) = 0$ , then  $f \in (m_\alpha)$  so  $m_\alpha$  divides  $f$ , i.e.  $rm_\alpha = f$ . Then  $m_\alpha = rm_\alpha g$ , so  $rg = 1$ , so  $g$  is a unit. •
5. Notation: We write  $K(\alpha) = K[x]/(m_\alpha)$ .
6. Observation: Every element of  $K(\alpha)$  is of the form  $p(\alpha)/q(\alpha)$ , where  $p, q \in K[x]$ .
7. Lemma: If  $K(\alpha) : K$  is simple algebraic, every element of  $K(\alpha)$  has a unique expression  $p(\alpha)$  where  $p$  is a polynomial over  $K$  and the degree of  $p$  is less than the degree of  $m$ .  
Proof: Every element in  $K(\alpha)$  is of the form  $f(\alpha)/g(\alpha)$ , where  $f, g \in K[x]$  and  $g(\alpha) \neq 0$ . Since  $g(\alpha) \neq 0$ ,  $m$  does not divide  $g$ , and since  $m$  is irreducible, then  $(m, g) = 1$ . Hence there are  $r, s \in K[x]$  such that  $rg + sm = 1$ . Hence  $r(\alpha)g(\alpha) = 1$ . Then  $f(\alpha)/g(\alpha) = f(\alpha)r(\alpha) = h(\alpha)$  for some  $h \in K[x]$ . Let  $h = qm + p$ , where the degree of  $p$  is less than the degree of  $m$ . Then  $p(\alpha) = h(\alpha)$ , so existence is clear.  
To see uniqueness, suppose  $f(\alpha) = g(\alpha)$  where both degrees of  $f$  and  $g$  are less than the degree of  $m$ . If  $e = f - g$ , then  $e(\alpha) = 0$  and the degree of  $e$  is less than the degree of  $m$ . Since  $m$  is minimal, we have  $e = 0$ , so  $f = g$ . •
8. Examples
  - (a)  $\mathbb{Q}[x]/(x^2 - 2)$
  - (b)  $\mathbb{R}[x]/(x^2 + 1)$
  - (c)  $\mathbb{Q}[x]/(x^2 - 2x - 1)$
  - (d)  $\mathbb{Z}_3[x]/(x^2 + 1)$
  - (e)  $\mathbb{Q}[x]/(x^3 - 2)$
9. If  $m$  is a monic irreducible polynomial of degree  $n$  over  $\mathbb{Z}_p$ , then  $\mathbb{Z}_p[x]/(m)$  has  $p^n$  elements.
10. Construct fields of order 8 and 27.
11. Vector spaces, basis
12. Consider basis of  $K(\alpha)$ , where  $\alpha$  is the root of some irreducible polynomial  $m_\alpha$  of  $K[x]$ .
13. Consider the basis of  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  over  $\mathbb{Q}$ .
14. Use of the notation  $[L: K]$ . Definition of finite extension.
15. Definition of algebraic element and algebraic extension.

16. An element  $\alpha$  is algebraic over  $K$  iff  $[K(\alpha): K]$  is finite.  
 Proof: If  $\alpha$  is algebraic with minpoly  $m$ , then  $[K(\alpha): K] = \deg m$ . If  $[K(\alpha): K] = n$ . Then  $\{1, \alpha, \dots, \alpha^{n-1}\}$  is a basis, so  $\{1, \alpha, \dots, \alpha^{n-1}, \alpha^n\}$  is linearly dependent. Therefore there is a polynomial annihilating  $\alpha$ , so  $\alpha$  is algebraic.
17. Corollary: If  $L: K$  is finite, then it is algebraic.  
 Proof: If  $\alpha \in L$ , then  $K(\alpha): K$  satisfies  $[K(\alpha): K] \leq [L: K]$ , so is finite. Hence  $\alpha$  is algebraic.
18. Converse is false.
19. Tower Law  $[L: K] = [L: M][M: K]$ .
20. Proposition: Let  $L: M: K$ . The minimum polynomial of  $\alpha \in L$  over  $M$  divides the minimum polynomial of  $\alpha$  over  $K$ .
21. Apply this to find the minimum polynomial of  $\sqrt[6]{2}$  over  $\mathbb{Q}(\sqrt{2})$ .
22. Definition: If  $f \in K[x]$  is a polynomial and  $L: K$  is a field extension, then  $f$  splits over  $L$  if  $f = \lambda(x - \alpha_1) \cdots (x - \alpha_n)$ , where  $\alpha_i \in L$  and  $\lambda \in K$ .
23. Definition: We say that  $L: K$  is a *splitting field* for  $f$  over  $K$  if (1)  $f$  splits over  $L$  and (2) if  $K \subseteq L' \subseteq L$  and  $f$  splits over  $L'$ , then  $L' = L$ .
24. Theorem: If  $f \in K[x]$  splits over  $L$  as  $f = \lambda(x - \alpha_1) \cdots (x - \alpha_n)$ , then  $K(\alpha_1, \dots, \alpha_n)$  is a splitting field for  $f$ .
25. Examples:
- (a)  $x^2 - 3$  over  $\mathbb{Q}$
  - (b)  $x^2 - \pi$  over  $\mathbb{R}$
  - (c)  $x^2 + \pi$  over  $\mathbb{R}$
  - (d)  $x^3 - 1$  over  $\mathbb{Q}$
  - (e)  $(x^2 - 3)(x^2 + 1)$  over  $\mathbb{Q}$
  - (f)  $x^4 + x^3 + x^2 + x + 1$  over  $\mathbb{Q}$
  - (g)  $x^m - 1$  over  $\mathbb{Q}$ , where  $m \in \mathbb{Z}_{\geq 1}$
26. Claim: If  $[K(\alpha): K] = n$  and  $[K(\beta): K] = m$  are coprime, then  $[K(\alpha, \beta): K] = mn$ .
27. Example:  $x^p - 2$  over  $\mathbb{Q}$ , where  $p$  is prime.
28. Definition of  $K$ -automorphism  $\alpha$  of  $L$ . Define  $\text{Gal}(L: K)$  or  $\text{Gal}(L: K)$  to be set of all  $K$ -automorphisms of  $L$ .
29. Claim: If  $L: K$  is a field extension, then  $\text{Gal}(L: K)$  is a group under composition.
30. Terminology: Galois group.
31. Compute  $\text{Gal}(\mathbb{C}: \mathbb{R})$  and  $\text{Gal}(\mathbb{Q}(\sqrt{2}): \mathbb{Q})$  and  $\text{Gal}(\mathbb{Q}(\sqrt[3]{2}): \mathbb{Q})$ .
32. Need correspondence between subgroups of  $\text{Gal}(L: K)$  and intermediate subfields of  $L: K$ .
33. Let  $\mathcal{G}$  be the set of subgroups of  $\text{Gal}(L: K)$  and  $\mathcal{F}$  be the set of intermediate subfields of  $L: K$ .

34. Want to prove that, if  $L$  is the splitting field for an irreducible  $f \in K[x]$ , then for any two roots  $\alpha$  and  $\beta$  of  $f$ , there is an element  $\sigma \in \text{Gal}(L/K)$  such that  $\sigma(\alpha) = \beta$ .

35. Definition: If  $H \leq G = \text{Gal}(L/K)$ , then  $H^\dagger$  is the subfield of  $L$  fixed by  $H$ . Then  $\dagger: \mathcal{G} \rightarrow \mathcal{F}$ .

36. Remark: Clearly  $\langle e \rangle^\dagger = L$ . Is  $G^\dagger = K$ ?

37. Examples

(a)  $\mathbb{Q}(\sqrt[5]{3}): \mathbb{Q}$  and  $G = \langle e \rangle$ , so  $G^\dagger = \mathbb{Q}(\sqrt[5]{3})$

(b)  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ .

(c)  $\mathbb{Q}(e^{2\pi i/5})$ .

38. Proposition: Properties of  $*$  and  $\dagger$ .

(a) If  $H_1 \leq H_2$ , then  $H_2^\dagger \subseteq H_1^\dagger$ . If  $\alpha \in H_2^\dagger$ , then  $\sigma$  fixes all elements in  $H_2$ , so  $\sigma$  fixes all elements of  $H_1$ , so  $\alpha \in H_1^\dagger$ .

(b) If  $M_1 \subseteq M_2$ , then  $M_2^* \subseteq M_1^*$ . If  $g \in M_2^*$ , then  $g$  fixes all elements in  $M_2$ , so  $g$  fixes all elements in  $M_1$ , so  $g \in M_1^*$ .

Now remind that for  $\mathbb{Q}(\sqrt[5]{3}): \mathbb{Q}$ , we have  $\mathbb{Q}^{*\dagger} = \langle e \rangle^\dagger = \mathbb{Q}(\sqrt[5]{3})$ .

(c)  $M \subseteq M^{*\dagger}$ . Let  $\alpha \in M$ . But  $M^{*\dagger} = \{\beta \in L: \sigma(\beta) = \beta \forall \sigma \in M^*\}$ .

(d)  $H \subseteq H^{\dagger*}$ . Let  $h \in H$ . But  $H^{\dagger*} = \{g \in G: g(\alpha) = \alpha \forall \alpha \in H^\dagger\}$ . (Leave for HW if no time!)

(e)  $M^{*\dagger*} = M^*$ . By (c) we have  $M \supseteq M^{*\dagger*}$  and by (d) we have  $M^* \subseteq M^{*\dagger*}$ .

(f)  $H^{\dagger*\dagger} = H^\dagger$ . By (d), we have  $H^\dagger \supseteq H^{\dagger*\dagger}$ . By (c) we have  $H^\dagger \subseteq H^{\dagger*\dagger}$ .

39. Theorem 11: Let  $H$  be a finite subgroup of  $\text{Gal}(L/K)$ . Then  $[L: H^\dagger] = |H|$ .

40. Recall that, if  $L: K$  is separable and normal and finite, then  $|G| = [L: K]$  (Theorem 15). Also we have  $[L: H^\dagger] = |H|$  (Theorem 11).

41. Do examples with  $x^3 - 2$  and  $x^4 - 2$ .

42. Definition: We say that an extension is Galois if it is normal and separable.

43. Bad examples in which  $G^\dagger \neq K$ .

44. Theorem 16: Let  $L: K$  be a finite extension with Galois group  $G$ . If the extension is Galois, then  $K$  is the fixed field of  $G$ , i.e.  $G^\dagger = K$ .

45. Theorem 19: Let  $[L: K] = n$ , separable and normal. Recall that  $*$ :  $\mathcal{F} \rightarrow \mathcal{G}$ .

(a)  $|\text{Gal}(L/K)| = n$ ;

(b)  $*$  and  $\dagger$  are inverses;

(c) If  $K \subseteq M \subseteq L$ , then  $[L: M] = |M^*|$  and  $[M: K] = |G|/|M^*|$ ;

(d)  $M: K$  is normal iff  $M^*$  is normal in  $G$ ;

(e) If  $M: K$  is normal, then  $\text{Gal}(M/K) \cong G/M^*$ .

46. Any finite field must have  $p^n$  elements.

Proof: A finite field must have characteristic  $p$ , and so has a subfield of order  $p$  generated by the 1 element. So the field is an extension of  $\mathbb{Z}_p$ , so has  $p^n$  elements. •

47. Define exponent of a group, examples

48. Prove that every finite multiplicative subgroup of a field is cyclic.

Proof: If  $\lambda = e(G)$ , then  $a^\lambda = 1$  for all  $a \in G$ . But  $x^\lambda - 1$  only has at most  $\lambda$  roots, so  $|G| \leq e(G)$ . But  $e(G) \leq |G|$ , so  $e(G) = |G|$ , so there is a generator (requires a tiny bit more work). •

49. Example:  $\mathbb{Z}_p^\times$  is cyclic.

50.  $K$  is a finite field of  $p^n$  elements iff  $K$  is a splitting field for the polynomial  $x^{p^n} - x$  over  $\mathbb{Z}_p$ .

Proof: Let  $K$  be a finite field of  $p^n$  elements. Then  $K^*$  is a multiplicative group of  $p^n - 1$  elements. So every  $a \in K^*$  satisfies  $a^{p^n - 1} = 1$ , so every element  $a \in K$  satisfies  $a^{p^n} = a$ , i.e. every element  $a \in K$  is a root of  $x^{p^n} - x$ . Since this polynomial can have at most  $p^n$  roots, the splitting field is exactly  $K$ .

Consider  $x^{p^n} - x$  over  $\mathbb{Z}_p$ . This has exactly  $p^n$  roots because it is separable. We will show that these roots form a field  $L$ . If  $a, b \in L$ , then  $(a + b)^{p^n} = a^{p^n} + b^{p^n} = a + b$ ;  $(ab)^{p^n} = ab$ ;  $(b^{-1})^{p^n} = b^{-1}$ ,  $(-a)^{p^n} = -a^{p^n} = -a$ . So  $L$  is a field containing exactly  $p^n$  elements. •

51. Let  $K$  be a finite field. Then  $K$  is a simple extension of  $\mathbb{Z}_p$ .

Proof: Since  $K^\times$  is cyclic, let  $u$  be a generator. Then  $K = \mathbb{Z}_p(u)$ . •

52. Corollary: Any two groups of order  $p^n$  are isomorphic. Notation:  $\mathbb{F}_{p^n}$

53. Theorem: If  $\mathbb{F}_{p^m} \subseteq \mathbb{F}_{p^n}$ , then  $m|n$ .

Proof: There are  $d$  basis elements. By counting,  $dm = n$ .

54. The index  $[\mathbb{F}_{p^n} : \mathbb{F}_{p^m}] = n/m$  in this case.

55. Theorem: If  $m|n$ , we can consider  $\mathbb{F}_{p^m} \subseteq \mathbb{F}_{p^n}$ .

Proof: Let  $d = n/m$ . The roots of  $x^{p^m} - x$  are all roots of  $x^{p^{md}} - x$  by induction. Suppose that  $a$  is a root of both polynomials, then raise the second equation by  $p^m$ .

56. Claim: Given  $\mathbb{F}_{p^m}$  and  $d \in \mathbb{N}$ , we can find an irreducible polynomial  $f \in \mathbb{F}_{p^m}[x]$  of degree  $d$ .

Proof: Let  $d$  be given. We know that  $\mathbb{F}_{p^{md}}^*$  is cyclic, so choose a generator  $\alpha$ . Let  $\phi : \mathbb{F}_{p^m}[x] \rightarrow \mathbb{F}_{p^{md}}$  be given by  $\phi(g) = g(\alpha)$ . This  $\phi$  is a surjective ring HM, so  $\mathbb{F}_{p^m}[x]/(h) = \mathbb{F}_{p^{md}}$ , where  $h$  is some irreducible polynomial of degree  $k$ . The LHS is a vector space with  $k$  basis elements. There are  $p^m$  choices for each coefficient, so there are  $p^{km}$  elements of the LHS, but the RHS has  $p^{md}$  elements, so  $d = k$ . •

57. Lemma: Let  $L$  be a finite field of characteristic  $p$ . The Frobenius map  $\phi : L \rightarrow L$  defined by  $\phi(u) = u^p$  is a  $\mathbb{Z}_p$ -AM.

Proof: Clearly it is a HM and fixes elements of  $\mathbb{Z}_p$ . If  $\phi(u) = 1$ , then  $u^p = 1$ , so  $(u - 1)^p = 0$ , so  $u = 1$ . •

58. Theorem: Let  $[L : K] < \infty$  and  $|K|$  be finite, then  $L : K$  is Galois and  $\text{Gal}(L/K)$  is cyclic.

Proof: The field  $L$  is finite dimensional over  $K$ ; so  $|L| = p^n$  for some  $n$ . Hence  $L$  is a splitting field for  $x^{p^n} - x$  over  $\mathbb{Z}_p$ . The roots are all distinct, so the extension  $L : \mathbb{Z}_p$  is Galois. The Frobenius map  $\phi : L \rightarrow L$  is a  $\mathbb{Z}_p$ -AM, so  $\phi \in \text{Gal}(L/\mathbb{Z}_p)$ . Now  $\phi^n$  is the identity since  $\phi^n(u) = u^{p^n} = u$ , so  $\phi^n = e$ . But this is not true for  $k < n$ , since otherwise  $u^{p^k} = u$  for all  $u \in L$ , a contradiction. Now  $|\text{Gal}(L/\mathbb{Z}_p)| = n$ , so  $\langle \phi \rangle = \text{Gal}(L/\mathbb{Z}_p)$ . Since  $\text{Gal}(L/K)$  is a subgroup of  $\text{Gal}(L/\mathbb{Z}_p)$ , it is cyclic also. •